

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Thomas J. Daley, et al.

Serial No.: 10/766,965

Filing Date: January 29, 2004

Group Art Unit: 3624

Examiner: Unknown

Title: *SYSTEM AND METHOD FOR AVOIDING TRANSACTION
COSTS ASSOCIATED WITH TRADING ORDERS*

Mail Stop Amendment

Commissioner of Patents

PO Box 1450

Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Applicants respectfully request, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. The present application was filed after June 30, 2003. Therefore, under the July 11, 2003, waiver of 37 C.F.R. § 1.98(a)(2)(i) by the U.S. Patent and Trademark Office, copies of U.S. Patents and U.S. Patent Application Publications listed in the attached PTO-1449 form are not enclosed. Copies of all other references are enclosed for the convenience of the Examiner. No representation is made that a search has been made, that these references are material to patentability, or that these references qualify as prior art. The items contained in this Information Disclosure Statement were cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. §1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement.

REMARKS

Pursuant to 37 C.F.R. § 1.97(b), Applicants believe this Information Disclosure Statement has been filed before the **issuance/mailling** date of the first Office Action. Therefore, Applicants believe no fee is due. However, if a fee is required, the Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

Baker Botts L.L.P.
Attorneys for Applicants



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Reg. No. 41,617

Date: November 18, 2005

Correspondence Address:

Customer No. **05073**

ATTORNEYS DOCKET
069547.0175

PATENT APPLICATION
10/766,965

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Dear Sir:

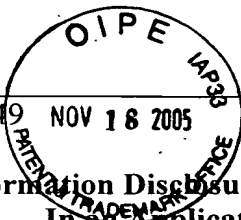
CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Information Disclosure Statement (2 pages), Form PTO-1449 (1 page) with 2 attached references, Baker Botts return postcard (1 postcard), and this Certificate of Mailing (1 page) are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 18th day of November 2005 and is addressed to the Commissioner of Patents, PO Box 1450, Alexandria, VA 22313-1450.

Willie Jiles

Willie Jiles

Express Mail Receipt
No. EV 732499666 US



PTO-1449 Information Disclosure Citation In an Application	Application No. 10/766,965	Applicant(s) Thomas J. Daley, et al.	
	Docket Number 069547.0175	Group Art Unit 3624	Filing Date January 29, 2004

U.S. PATENT DOCUMENTS

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A	2004/0210505 A1	10/21/2004	Pourhamid	705	37	04/17/2003
	B	2004/0236662 A1	11/25/2004	Korhammer, et al.	705	37	05/20/2003
	C	2005/0044031 A1	02/24/2005	Lebedev	705	37	08/21/2003
	D						
	E						
	F						
	G						
	H						
	I						
	J						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	K							
	L							
	M							
	N							
	O							

		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
	P	Notification of Transmittal of the International Search Report for Application no. PCT/US05/03166; 4 pages.	11/04/2005
	Q	Written Opinion of the International Searching Authority for Application No. PCT/US05/03166; 5 pages.	11/04/2005
	R		

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

U.S. PATENT AND TRADEMARK OFFICE